

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Muhammed MAJEED et al

Serial No.: 09/083,122

Filed: May 22, 1998



For: A NEW PROCESS FOR THE PRODUCTION OF POTASSIUM HYDROXY CITRIC ACID, AND COMPOSITIONS CONTAINING THE POTASSIUM HYDROXY CITRIC ACID

**REQUEST FOR SMALL ENTITY STATUS**

Assistant Commissioner  
for Patents  
Washington, D.C. 20006

August 14, 1998

Sir:

Submitted herewith is a Verified Statement Claiming Small Entity Status for the above-identified application. An acknowledgment of this status is respectfully requested.

In the event any fees are required, please charge our Deposit Account No. 14-1060.

Respectfully submitted,  
NIKAIDO, MARMELSTEIN, MURRAY & ORAM LLP

A handwritten signature in black ink, appearing to read "Robert B. Murray".

Robert B. Murray  
Registration No. 22,980

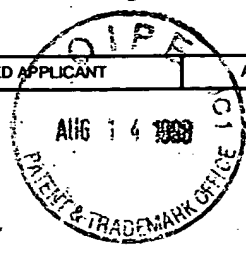
Atty. Docket No. P8064-8009  
Metropolitan Square  
Suite 330 - G Street Lobby  
655 15th Street, N.W.  
Washington, D.C. 20005-5701  
Telephone: (202) 638-5000  
RBM:cb

CK #17229



Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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**BEST AVAILABLE COPY**

**RECEIVED**

AUG 19 PAID

**GROUP 1500**

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

DATE MAILED:

06/05/98

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this **NOTICE to avoid abandonment**.

*If all required items on this form are filed within the period set above, the total amount owed by applicant as a*

☐ small entity (statement filed) ☒ non-small entity is \$ 420.00.

- ☒ 1. The statutory basic filing fee is:
- ☒ missing.
  - ☐ insufficient.

Applicant must submit \$ 190.00 to complete the basic filing fee and/or file a small entity statement claiming

08/18/1998 such status (37 CFR 1.27).

- ☐ 2. Additional claim fees of \$ 230.00, including any multiple dependent claim fees, are required.

01 FC:201 \$ 395.00 OP independent claims over 3.  
02 FC:205 \$ 65.00 OP dependent claims over 20.  
\$          for multiple dependent claim surcharge.

*Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.*

- ☒ 3. The oath or declaration:
- ☐ is missing or unexecuted.
  - ☐ does not cover the newly submitted items.
  - ☐ does not identify the application to which it applies.
  - ☐ does not include the city and state or foreign country of applicant's residence.

*An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.*

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

*An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.*

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
- ☐ 8. The application does not comply with the Sequence Rules.  
*See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."*

- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

4/08  
Custom r Service Center  
Initial Patent Examination Division (703) 308-1202

**PART 2 - COPY TO BE RETURNED WITH RESPONSE**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Muhammed MAJEED et al

Serial No.: 09/083,122

Filed: May 22, 1998



ATTN: Box Missing  
Parts

For: A NEW PROCESS FOR THE PRODUCTION OF POTASSIUM HYDROXY CITRIC ACID, AND COMPOSITIONS CONTAINING THE POTASSIUM HYDROXY CITRIC ACID

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Assistant Commissioner  
Washington, D.C. 20231

August 14, 1998

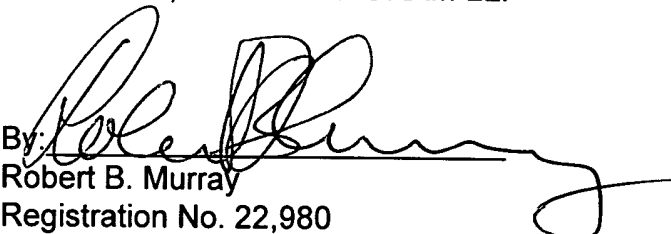
**RECEIVED**  
AUG 19 PAID  
GROUP 180

Sir:

In response to the Notice to File Missing Parts of Application dated June 5, 1998, enclosed is a check in the amount of Five Hundred Fifteen Dollars (\$515) is enclosed to cover the cost of the small entity government filing fee of Three Hundred Ninety Five Dollars (\$395), the surcharge for the late filing of the declaration of Sixty Five Dollars (\$65), a one month Extension of Time fee of Fifty Five Dollars (\$55). A Small Entity Verified Statement is being filed herewith.

In the event that this paper is not timely filed, applicants hereby petition for an appropriate extension of time. The fees for any such extension may be charged to our Deposit Account No. 14-1060.

Respectfully submitted,  
NIKAIDO, MARMELESTEIN, MURRAY & ORAM LLP

By:   
Robert B. Murray  
Registration No. 22,980

Atty. Case No. P8064-8009  
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